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May 8, 2001

\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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Commissioner for Patents  
Washington, D.C. 20231

Art Unit: 1653

Re: U.S. Utility Patent Application  
Appl. No. 09/297,040; § 371 Date: July 21, 1999  
For: **Diabetes-Mediating Proteins and Therapeutic Uses Thereof**  
Inventors: MOSE LARSEN *et al.*  
Our Ref: 2012.0390004/JAG/TJS

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Assertion of Small Entity Status Under 37 C.F.R. § 1.27(c) and Request for Refund Under 37 C.F.R. § 1.28(a) (*in duplicate*); and
2. Return postcard; and

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

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REFUND BRANCH  
2001 MAY 14 PM 12:57  
PATENT & TRADEMARK  
OFFICE

Commissioner for Patents


May 8, 2001

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Timothy J. Shea, Jr.", written in a cursive style.

Timothy J. Shea, Jr.  
Attorney for Applicants  
Registration No. 41,306

TJS/slw  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MOSE LARSEN *et al.*

Appl. No. 09/297,040

§ 371 Date: July 21, 1999

For: **Diabetes-Mediating Proteins and  
Therapeutic Uses Thereof**

#14  
Art Unit: 1653

Examiner: Lowe, C.

Atty. Docket: 2012.0390004/JAG/TJS

**Assertion of Small Entity Status Under 37 C.F.R. § 1.27(c)  
and  
Request for Refund Under 37 C.F.R. § 1.28(a)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

11/09/2 1 GDUCKETT 00000003 09297040

01 FC:218

This application is entitled to small entity status and the same is respectfully  
asserted. \$945.00 CR

It is requested that \$ 945.00 be credited to our Deposit Account No. 19-0036.  
On April 4, 2001, the following large entity fees were paid: Petition for Extension of  
Time (five months). As a result of the above assertion of small entity status being made  
within three months of payment of these fees, it is believed that credit for ½ of that  
amount, or \$ 945.00 is proper. A duplicate copy of this Request is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Timothy J. Shea, Jr.  
Attorney for Applicants  
Registration No. 41,306

Date: May 8, 2001

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Repln. Ref: 11/09/2001 GDUCKETT 0014205200  
DAB:190036 Name/Number:09297040  
FC: 704 \$945.00 CR